# Inclusive and Trauma Informed Investigations

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# **The Reporting Context**

- United States DOJ research and numerous campus climate surveys indicate that as many as 80% of students who experience sexual assault and other sexual harassment do not report it to any official authority.
- The incidence of non-reporting is higher for members of traditionally marginalized (as well as traditionally targeted) communities, including people of color, LGBTQ+ individuals, and individuals with disabilities.
- Male students are less likely to report than females but 12.5% of male students report having experienced unwelcome sexual conduct.
- International students are far less likely to report incidents of sexual misconduct.
- Faculty members are apprehensive about reporting incidents of sexual misconduct they experience and are uncertain to whom they should report.

#### What Can We Do to Improve the Reporting Rate?

- Provide different options for reporting.
- Communicate these options clearly, periodically (not once) and in different forms.
- Engage student groups and organizations in getting the message out.
- Review campus climate data and identify campus-specific (or campus culture-specific) obstacles to reporting.
- Solicit feedback about your process and be willing to revisit it.

## **The Inclusivity Point**

An inclusive process or space is one in which people of all identities, backgrounds, and characteristics feel safe and respected.

**Other definitions?** 

# **Promoting Inclusivity**

- Establish connections with student leaders of affinity groups and student organizations early in the year (e.g., LGBTQ+; first-gen; students of color; international students, etc.); arrange for periodic meetings to discuss student awareness of the process and to address any concerns about inclusivity or implicit bias.
- Engage in regular communications on this subject with DEI staff, campus police, CARE teams, Mental Health/Wellness, Athletics, Greek life – etc.
- Notice whether members of marginalized communities are coming forward with complaints and if they are not, develop a plan to understand why not.

### **A Word About Microaggressions**

Microaggressions are the everyday verbal, nonverbal, and environmental slights, snubs, or insults, whether intentional or not, that communicate hostile, derogatory, or negative messages to target persons based solely upon their marginalized group membership.

-- Diversity in the Classroom, UCLA Diversity & Faculty Development (2014)

# **Microaggression Examples**

- Saying, "You people...." or "People like you...."
- Assuming that a person is either "he" or "she."
- Saying, "You speak English very well."
- Continuing to mispronounce a non-English based name.
- Monitoring a person of color differently from a white person (in an office or waiting area)
- Identifying a person by the color of their skin when there is no reason to do so.
- Other examples?

#### **Relevant Research – 1**

- Cultures have been identified as "independent" or interdependent." Independent cultures promote a model of identity development that centers individual preferences and achievements; interdependent cultures position the self in relation to one's social roles and the needs of the greater community.
- Interdependence has been studied most extensively in East Asian contexts, but the model also applies to many nonwhite, immigrant, poor and working class communities within the Unites States.

Markus & Kitayama (1991, 2003)

### **Relevant Research – 2**

- 71% of U.S. college students perceive their institution as "independent."
- When colleges and universities explicitly or implicitly promote "independent" messages and norms, it negatively impacts the academic and overall experience of students from independent cultural contexts.
- The negative effects of this cultural mismatch are exacerbated by microaggressions and macroaggressions – resulting in a student not trusting the institution, its policies and procedures.

Stephanie Fryberg (2020)

#### **Relevant Research – 3**

Recent neurobiological research has found that culturally unwelcoming and/or interpersonally threatening social interactions can affect cognitive processing and decision-making.

Real or perceived "social threat" causes the amygdala to release cortisol, which stops all learning and deliberative thinking for 20 minutes and stays in the body for up to three hours.

Culturally Responsive Teaching and the Brain (Z. Hammond)(2014)

# Establishing Trust – Consider the TIX Office and Materials

- Does the physical space and its content (posters on the wall, books on shelves) send a message of cultural and gender inclusivity?
- Does the physical space have rooms or areas that feel private and safe?
- What do students walk by in order to get to the Title IX Office?
- Do you provide both complainants and respondents with comparable materials containing information about resources?
- If the materials contain graphics are those graphics inclusive?
- Consider providing information about affinity groups, not just sexual assault resources. Remember the intersectional nature of identity.

# **Remind Yourself of the Objectives of the TIX Intake Process**

- Establish a connection with the student that enables the student to feel safe and to start building trust in the process. Avoid any expression of opinion or bias in doing this.
- Provide the student with relevant information about the options that are available.
- Ask the student to tell you of any immediate concerns they have and address those concerns.
- Develop a plan with the student for communication of status updates.

### **Thoughts From Participants**

Any thoughts, tips, comments on how to increase the likelihood that community members will experience the TIX Office and process as inclusive?

#### **Educate Yourself on Cultural Differences**

When you have the opportunity, learn about current research on the cultural differences that may influence both the verbal and on-verbal behavior of the students with the students with whom you interact.

**Recommended Reading:** 

Multicultural Competence in Student Affairs, Second Edition (Pope, Reynolds, Mueller)(2019)

The Four Skills of Cultural Diversity Competence (M. Hogan)(2012)

Expand Your Borders (David Livermore, PhD.)(2013)

#### For example....

David Livermore's work has identified the following "cultural value" spectrums and linked them to various communities:

- Individualism-Collectivism
- High Significance of Status Low Significance of Status
- High Uncertainty Avoidance Low Uncertainty Avoidance
- Cooperative Competitive
- Priority of Short-Term Values Priority of Long-Term Values
- Value of Direct Communication Value of Indirect Communication
- Being Doing

# Educate Yourself on Implicit/Unconscious Bias

This will enable you to recognize bias and avoid being influenced by it. Some common types of bias include:

- Affinity bias (liking and believing people who look like you)
- Attractiveness bias (liking and believing attractive people)
- Availability bias (thinking that examples of things that come to mind readily are more common that they are in reality)
- Confirmation bias (looking for information that is consistent with an exiting opinion and ignoring contradictory information)
- Conformity bias (tendency to be influenced by a group member's view)
- Halo Effect/Horns Effect (if a person is positive or negative in one respect they are "all good" or "all bad")

# **Thoughts from Participants**

Any thoughts, comments on where implicit bias can influence the process and how to prevent that?

#### **Investigation Interviews – General**

Manage your time so that you do not feel overly rushed or burdened by other matters when doing the interview because:

- this will enable you to create a better connection with the interviewee; you are more likely to engage in active listening and to project an attitude of interest and caring;
- you are less likely to be affected by any internal biases you have;
- you are more likely to conduct a thorough interview.

### **Investigation Interview Logistics**

Whether in person or on Zoom (or another remote platform), talk a bit about the logistics and create a connection on the following points:

- explain whether you are or are not recording the interview and confirm that the interviewee is not recording it;
- confirm that the space is private both for you and for the interviewee;
- ask the interviewee is he/she/they have questions before you begin and be prepared to answer them – know to whom they should pose any questions you cannot answer; and
- offer to explain the process.

#### **Inclusive Investigation Interview Language & Conduct**

- Confirm the interviewee's pronouns and use them; pay attention to pronouns generally.
- If you are using Zoom, confirm that the interviewee can see and hear you clearly. Be alert to any need for an accommodation.
- If the interviewee expresses difficulty understanding a question, stay patient and rephrase it. If language comfort is the issue be prepared to offer to reschedule with an interpreter.
- Your tone and affect must be empathetic and non-judgmental at all times.
- If the interviewee appears to be looking down (on Zoom), ask if they are reading from anything as they respond. If they tell you that they are, ask why. Do not express judgment; do ask for copies of any notes.

### **The Trauma-Informed Interview**

Investigators need to know something about the neurobiology of trauma:

- in order to conduct an effective interview; and
- so that they do not mistake the effects of trauma for indicia of a lack of credibility.

This knowledge is a tool, not a bias towards the complainant who may or may not have been traumatized by an act that may or may not amount to a policy violation.

# The Neurobiology of Trauma

When a person undergoes trauma, the brain goes into "survival" mode; this replaces executive functioning and involves a release of several hormones that have an impact on action and memory.

- A trauma survivor may recall some details and have no recollection of others.
- A trauma survivor may not recall things in a linear fashion.
- A trauma survivor may have sensory memories and little else.
- A trauma survivor's demeanor may be hostile, flat, emotional, bored – or all of the above.

#### Remember

- Conducting an interview with an awareness of trauma does not mean you assume there has been a trauma. It means that you will be able to consider whether certain aspects of the interviewee's account or the way in which the interviewee presents is consistent with having suffered a trauma.
- Respondents and witnesses may report things in a way that reflects trauma as well this tool is not solely for complainant interviews.
- Balance the desire not to "re-traumatize" the interviewee with your responsibility to get the relevant information. Work with the interviewee to do this.

# **Trauma-Informed Language**

- Ask each party whether they would prefer that you refer to the other as "Complainant" or "Respondent" as opposed to by name.
- Refer to the sexual interaction not the "sexual experience."
- Use the term "penetration" not "sexual intercourse" or "sex."
- Do not assume either party considers what they had with the other party to have been a "relationship."
- Avoid asking "why not" if the interviewee states that they did not say or do something ask the interviewee to "say more about that" instead.
- Ask about thoughts and feelings, not just facts.

## **Thoughts From Participants**

Any tips, comments, anecdotes about how to conduct an inclusive and trauma-informed investigation?